

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

(Use as many sheets as necessary)

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-5,850,520	12-15-1998	L. Joy GRIEBENOW et al.	
		US-7,051,351	05-23-2006	Phillip Y. GOLDMAN et al.	
		US-6,029,176	02-22-2000	Mark E. CANNON	
		US-4,302,193	11-24-1981	Leonard S. HAYNES	
		US-4,406,626	09-27-1983	Weston A. ANDERSON et al.	
		US-4,579,533	04-01-1986	Weston A. ANDERSON et al.	
		US-6,115,482	09-05-2000	James T. SEARS et al.	
		US-6,161,093	12-12-2000	Masao WATARI et al.	
		US-7,181,692	02-20-2007	Steven H. SIEGEL	
		US-5,734,823	03-31-1998	Michael M. SAIGH et al.	
		US-5,553,216	09-03-1996	Makoto YOSHIOKA et al.	
		US-5,173,051	12-22-1992	Theodore MAY et al.	
		US-5,301,172	04-05-1994	Norman D. RICHARDS et al.	
		US-4,855,725	08-08-1989	Emilio A. FERNANDEZ	
		US-4,890,319	12-26-1989	Nigel SETH-SMITH	
		US-4,947,244	08-07-1990	Robert B. FENWICH et al.	
		US-4,776,011	10-04-1988	Bruce E. BUSBY	
		US-4,731,840	03-15-1988	Susan M. MNISZEWSKI et al.	
		US-			

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
Form PTO/SB/08a**

(Use as many sheets as necessary)

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a</b>				<b>Complete if Known</b>	
				Application Number	09/289,957
				Filing Date	April 13, 1999
				First Named Inventor	John S. HENDRICKS et al.
				Art Unit	3685
				Examiner Name	John M. Winter
Sheet	1	of	2	Attorney Docket Number	026880-00024

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,906,397	05-1999	MACWILLIAMS	
		US-5,890,177	03-1999	MOODY et al.	
		US-5,778,374	07-1998	DANG et al.	
		US-6,683,631	01-2004	MICHAEL CARROLL	
		US-6,891,551	05-2005	KEELEY et al.	
		US-5,734,719	03-1996	TSEVDOS et al.	
		US-5,787,254A	07-1998	MADDALOZZO et al.	
		US-5,870,767A	02-1999	KRAFT, IV, GEORGE	
		US-5,956,483A	09-1999	GRATE et al.	
		US-6,029,141	02-2000	BEZOS et al.	
		US-6,044,385	03-2000	GROSS et al.	
		US-6,055,544	04-2000	DEROSE et al.	
		US-6,167,435	12-2000	DRUCKENMILLER et al.	
		US-6,177,936	01-2001	CRAGUN, BRIAN JOHN	
		US-6,585,776	07/2003	BATES et al.	
		US-7,185,274	02-2007	RUBIN et al.	
		US-2004/0268224	12-2004	BALKUS et al.	
		US-2003/0236836	12-2003	BORTHWICK, ERNEST MARK	
		US-2003/0124502	07-2003	CHOU, CHI-CHIN	
		US-2004/0201609	10-2004	OBRADOR, PERE	
		US-2004/0219494	11-2004	BOON, JOHN F.	
		US-2004/0039934	02-2004	LAND et al.	
		US-2006/0204942	09-2006	KIMBALL, ANDREW	
		US-2003/0200145	10-2003	KRASSNER et al.	
		US-7,039,643	05-2006	SENA et al.	
		US-2007/0016657	01-2007	ITO, ATSUSHI	
		US-6,344,853	02-2002	KNIGHT, KEVIN J.	
		US-2006/0259239	11-2006	NOURI, GUY	
		US-2004/0215658	10-2004	CARNEGIE et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		GB 2149544A	12-1985	CROSSLAND et al.		

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/289,957
				Filing Date	April 13, 1999
				First Named Inventor	John S. HENDRICKS et al.
				Art Unit	3685
				Examiner Name	John M. Winter
				Attorney Docket Number	026880-00024
Sheet	2	of	2		

## NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**